

# Dr. Jan Kappel

MANAGING PARTNER / FRANKFURT AM MAIN

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## Citations (selection):

**"Top compliance attorney, especially for D&O liability and white-collar crime"**  
*Wirtschaftswoche* 14/2015; 21/2017; 15/2019

**"One of ten leading names for compliance investigations in Germany"**  
*JUVE Commercial Law Firms* consistently since 2012 / 2013, most recently in 2018 / 2019

**"Outstanding services and profound knowledge of criminal law and criminal procedure"**  
*Legal 500*

**"One of twelve recommended lawyers for compliance matters in Germany"**  
*Kanzleimonitor* 2017/2018

Dr. Jan Kappel is an attorney and managing partner of the Frankfurt office of AGS Legal, where he manages the White-Collar Crime/Compliance and Litigation practice group. Dr. Kappel has extensive expertise investigating complex cases involving damage and liability in which he regularly represents companies, management bodies and decision-makers. Clients and competitors describe Dr. Kappel as "pragmatic and efficient," "a C-level player," "thorough," "a good strategist" and "as solid as a rock" (quotes from *JUVE*, *Legal 500*). Business magazine *Wirtschaftswoche* has named Dr. Kappel one of Germany's leading attorneys for compliance cases since 2015. His core areas include representing and

defending companies in white-collar crime cases, prosecuting willful injuries to companies, strategically managing the resolution of international compliance cases, representing management board members and other decision-makers in civil liability cases relating to compliance (D&O liability) and inspecting and enhancing compliance systems. Dr. Kappel also represents clients in "classic" civil disputes and cases involving heavy losses. Dr. Kappel regularly publishes articles on compliance topics, gives talks for institutional event organizers and is an adjunct professor at the University of Heidelberg.

## Projects (examples):

- Online Booking Portal (Travel): Coordinating internal investigation with auditing firm (big four) regarding long-term embezzlement by former employee, representing the client against former management in the criminal and civil law proceedings, representing the client towards insurance companies (D&O, fidelity insurance)
- Dieselgate: Representing former executive before German Authorities, Courts and in the US (with Co-Counsel)
- Insolvency administrator: litigation against contract partners (advisors) of the insolvent company for damages amounting to some 90 million € because of various violations of duty
- Former CEO: Defending client in civil litigation against an international operating engineering company that brought forward some 80 million € claim because of an alleged responsibility for lacks in the compliance system. Coordination with insurance companies in various layers
- Plant Manufacturer: Litigation against various contractual partners in coordination with further law firms in Germany and SEE as well as involved insurance companies linked to a major infrastructure project in Serbia
- Real Estate Company: Representation towards committee of creditors during insolvency proceedings to coordinate various litigation proceedings